

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2012-07-23
Investment Auto Submission Date: 2012-02-28
Date of Last Investment Detail Update: 2012-02-28
Date of Last Exhibit 300A Update: 2012-07-23
Date of Last Revision: 2012-07-23

Agency: 009 - Department of Health and Human Services
Medicaid Services

Bureau: 38 - Centers for Medicare and

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: CMS Interoperability & Standardization - Provider Enrollment (PECOS)

2. Unique Investment Identifier (UII): 009-000004611

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

This investment funds the Provider Enrollment and Chain Ownership System (PECOS), which is a national repository of all Medicare provider and supplier (p/s) enrollment data. PECOS standardizes the Medicare enrollment process. PECOS retains all information submitted by p/s and only requires updates to the initial enrollment data. The need for p/s practicing in multiple states or billing multiple Medicare Contractors (MC) to completely reenroll is eliminated. PECOS makes updating existing information easier, eliminates duplicate data entry and provides uniform data to the Fee For Service claims systems. PECOS enables MC reviewing enrollment applications to determine if an applicant was previously enrolled in Medicare and to identify all of the applicant's affiliations. PECOS improves the accuracy of enrollment data and ensures that only qualified p/s are enrolled with Medicare. PECOS greatly reduces the amount of time needed to process provider enrollment applications. By offering a more complete picture of p/s business operations, PECOS helps to ensure Medicare works only with reputable business partners. As a national enrollment database, PECOS significantly enhances fraud and abuse prevention and enforcement activities since it allows CMS and enrollment MC to view all Medicare activity of a particular p/s. Fraud investigators have a complete national picture of a provider and its managers and owners, allowing them to check for patterns of fraud that cross providers and/or contractor

jurisdictions. PECOS also identifies p/s who have been denied privileges, or are subject to revocations or exclusions. PECOS R7.0 has modernized the architecture of the administrative interface by moving it to the agency approved platform. PECOS R7.2 expanded PECOS to incorporate Durable Medical Equipment suppliers. Future releases will incorporate changes due to the American Recovery and Reinvestment Act of 2009 and requests from the agency wide PECOS user council.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

As a national enrollment database, PECOS significantly enhances fraud and abuse prevention and enforcement activities since it allows CMS and enrollment Medicare Contractors to view all Medicare activity of a particular provider/supplier. Fraud investigators have a complete national picture of a provider/supplier and its managers and owners, allowing them to check for patterns of fraud that cross providers/suppliers and/or contractor jurisdictions. PECOS also identifies providers/suppliers that have been denied privileges, or are subject to revocations or exclusions.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

Previously PECOS supported the enrollment of all Medicare provider types except suppliers of Durable Medical Equipment. PECOS added the functionality to support the enrollment of this type of provider. In addition, PECOS was redesigned to conform with the CMS mandated three-zone architecture.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

PECOS plans to make a number of enhancements to improve the provider interface with the application. PECOS plans to implement the use of an E-Signature capability to replace the need for a "wet" signature, which currently creates a significant extra step for the provider community and slows down the enrollment process. This will allow the providers to complete the entire enrollment process online. PECOS also plans to implement the Digital Documentation feature which will allow providers to attach digitized supporting documentation, again streamlining the enrollment process. In addition, PECOS plans to provide a provider bulk upload capability to allow large providers to upload multiple enrollment applications via a single standardized file using a secure webpage. PECOS will also provide a feature, the Mass Modification tool, to allow providers to automatically make the same update across multiple enrollments. PECOS will also interface with an automatic screening tool currently being developed. PECOS will also be part of a provider portal effort that will allow an single entry point to all of the related applications. PECOS will also enhance the surrogate capability to allow providers to grant access to their enrollment records to delegated third parties.

5. Provide the date of the Charter establishing the required Integrated Program Team

(IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.

2011-06-15

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$29.9	\$6.1	\$11.0	\$4.2
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.3	\$0.4	\$0.3
Sub-Total DME (Including Govt. FTE):	\$29.9	\$6.4	\$11.4	\$4.5
O & M Costs:	\$57.3	\$16.3	\$8.9	\$18.5
O & M Govt. FTEs:	\$0.6	\$0.3	\$0.2	\$0.4
Sub-Total O & M Costs (Including Govt. FTE):	\$57.9	\$16.6	\$9.1	\$18.9
Total Cost (Including Govt. FTE):	\$87.8	\$23.0	\$20.5	\$23.4
Total Govt. FTE costs:	\$0.6	\$0.6	\$0.6	\$0.7
# of FTE rep by costs:	15	5	5	5
Total change from prior year final President's Budget (\$)		\$4.9	\$3.4	
Total change from prior year final President's Budget (%)		27.20%	20.02%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

The current funding levels for this investment were developed through the CMS' annual CPIC process. The funding levels for PECOS do not equal the President's Budget because the new contract came in for less than anticipated. In addition, more funds were added to better improve the provider experience.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	7530	HHSM500200700015I									

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-07-23

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
291511	PECOS FY 2012 3rd Quarter Release	This project will provide a digital documentation feature and an interim provider single entry point or portal. Currently all supporting documentation and certifications must be mailed which creates a bottleneck in the enrollment process. Digital documentation will allow providers to attach digitized supporting documentation to their enrollment applications. The single entry point will facilitate access to the appropriate applications which will improve the enumeration, enrollment and registration activities.			
291512	PECOS FY 2012 1st Quarter Release	This project will provide e-signature and automated screening capabilities. Currently all new enrollments and updates require a physical signature. This creates a significant extra step in the enrollment process. The e-signature will allow the providers to complete the			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
		enrollment process online .An automated screening tool is being developed that will generate a comprehensive report and a risk score for each provider. This information will be fed into PECOS.			
291516	PECOS FY 2012 2nd Quarter Release	<p>This project will provide the capabilities for provider bulk upload, enhanced identification and authorization and streamlined information sharing.</p> <p>The provider bulk upload will allow providers to upload multiple enrollment applications via a single standardized file. The identification and authorization function will be enhanced to allow providers to grant access to their enrollment records to delegated third parties. The query function will be enhanced to provide more user friendly access to PECOS data.</p>			
291568	PECOS FY 2012 4th Quarter Release	<p>This project will provide a mass modification capability and will also provide an analysis of provider systems to enable the agency to migrate to an operational book of records. The mass modification feature will allow providers to select multiple enrollments and perform predefined actions on all of the selected enrollments. The operational book of records will allow provider data to be in one location making it accessible where needed.</p>			
294946	PECOS FY 2011 4th Quarter Release	This project will implement the Pay.Gov function.			
294947	PECOS FY 2013 1st Quarter	This project will support the			

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
	Release	implementation of any approved improvements and enhancements for PECOS.			

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
291511	PECOS FY 2012 3rd Quarter Release							
291512	PECOS FY 2012 1st Quarter Release							
291516	PECOS FY 2012 2nd Quarter Release							
291568	PECOS FY 2012 4th Quarter Release							
294946	PECOS FY 2011 4th Quarter Release							
294947	PECOS FY 2013 1st Quarter Release							

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
294946	294946: Requirements and Design		2011-07-05	2011-07-05	2011-07-05	78	0	0.00%
294946	294946: Development		2011-09-12	2011-09-12	2011-09-12	84	0	0.00%
291512	291512: Requirements and Design		2011-09-30	2011-09-30	2011-09-05	74	25	33.78%
294946	294946: Test and implement		2011-10-07	2011-10-07	2011-10-03	46	4	8.70%

Key Deliverables								
Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
291512	291512: Development		2011-11-30	2011-11-30	2011-11-07	79	23	29.11%
291516	291516: Requirements and Design		2011-12-30	2011-12-30	2012-02-06	74	-38	-51.35%
291512	291512: Test and implement		2012-01-30	2012-01-30	2012-02-13	77	-14	-18.18%
291516	291516: Develop		2012-02-27	2012-02-27	2012-03-30	77	-32	-41.56%
291511	291511: Requirements and Design		2012-03-30	2012-03-30	2012-05-23	73	-54	-73.97%
291516	291516: Test and Implement		2012-04-30	2012-04-30	2012-04-30	77	0	0.00%
291511	291511: Development		2012-05-28	2012-05-28	2012-06-22	77	-25	-32.47%
291568	291568: Requirements and Design		2012-06-29	2012-06-29		74	-63	-85.14%
291511	291511: Test and Implement		2012-07-30	2012-07-30		77	-32	-41.56%
291568	291568: Development		2012-08-31	2012-08-31		74	0	0.00%

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Reduce time for Medicare Contractors to process enrollments through the automation of Application Fee payment process	number of days	Process and Activities - Cycle Time and Timeliness	Under target	5.000000	0.000000		2.000000	Semi-Annual
Increase the percentage of applications submitted through the Provider Web Interface	%	Technology - Efficiency	Over target	10.000000	0.000000		25.000000	Monthly
Percent of large organizations using bulk upload to implement updates	%	Technology - Efficiency	Over target	0.000000	0.000000		40.000000	Semi-Annual
Improve system performance to increase the number of concurrent users	number of concurrent users	Customer Results - Timeliness and Responsiveness	Over target	800.000000	0.000000		1600.000000	Quarterly
Percentage of enrollments processed electronically (rather than on paper)	%	Mission and Business Results - Services for Citizens	Over target	0.000000	0.000000		20.000000	Quarterly